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To:

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Mail Stop Amendment Commissioner for Patents U.S. Patent and Trademark Office	571-273-8300	571-272-7105

FROM: Rick Shoop **DATE:** February 9, 2006

Number of pages with cover page:	11	Originals Will Not Follow
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Comments:

Attorney Docket No.: 146712016200 Group Art Unit: 3682 Examiner: C. Hansen Serial No.: 10/609,310 Filing Date: June 26, 2003 Inventor: Alan L. GRANTZ Title: NON-RECIRCULATING CONICAL FLUID DYNAMIC BEARING FOR AN ELECTRIC MOTOR	Client Ref. No. STL 3180
Papers enclosed: <ol style="list-style-type: none"> 1. Transmittal (1 page) 2. Fee Transmittal plus duplicate for fee processing (2 pages) 3. Amendment in Response to Notice of Non-Compliant Amendment (7 pages) 	

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PTO/SB/21 (09-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE


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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/609,310
	Filing Date	June 26, 2003
	First Named Inventor	Alan L. GRANTZ
	Art Unit	3682
	Examiner Name	C. Hansen
	Attorney Docket Number	146712016200
Total Number of Pages in This Submission		10

ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form plus duplicate for fee processing (2 pages) <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply (Amendment in Response to Notice of Non-Compliant Amendment) (7 pages) <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.63	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Fax Cover Sheet
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	MORRISON & FOERSTER LLP (Customer No. 50269)		
Signature			
Printed name	Rick Shoop		
Date	February 9, 2006	Reg. No.	45,763

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, facsimile no. 571-273-8300, on the date shown below.

Dated: February 9, 2006

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(Sandy Yi)

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PTO/SB/17 (12-04v2)

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Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). FEE TRANSMITTAL For FY 2005		Complete if Known	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number 10/609,310	
		Filing Date June 26, 2003	
		First Named Inventor Alan L. GRANTZ	
		Examiner Name C. Hansen	
		Art Unit 3682	
TOTAL AMOUNT OF PAYMENT (\$ 50.00)		Attorney Docket No. 146712016200	

METHOD OF PAYMENT (check all that apply)	
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> None <input type="checkbox"/> Other (please identify): _____	
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<input checked="" type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee <input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Credit any overpayments	

FEE CALCULATION							
1. BASIC FILING, SEARCH, AND EXAMINATION FEES							
	FILING FEES		SEARCH FEES		EXAMINATION FEES		
		<u>Small Entity</u>		<u>Small Entity</u>		<u>Small Entity</u>	
<u>Application Type</u>	<u>Fee (\$)</u>	<u>Fee (\$)</u>	<u>Fee (\$)</u>	<u>Fee (\$)</u>	<u>Fee (\$)</u>	<u>Fee (\$)</u>	<u>Fees Paid (\$)</u>
Utility	300	150	500	250	200	100	0.00
Design	200	100	100	50	130	65	0.00
Plant	200	100	300	150	160	80	0.00
Reissue	300	150	500	250	600	300	0.00
Provisional	200	100	0	0	0	0	0.00
2. EXCESS CLAIM FEES							
						<u>Small Entity</u>	
<u>Fee Description</u>						<u>Fee (\$)</u>	<u>Fee (\$)</u>
Each claim over 20 (including Reissues)						50	25
Each independent claim over 3 (including Reissues)						200	100
Multiple dependent claims						360	180
<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>			
23	- 22 = 1	x 50.00 =	50.00	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>		
				360.00	0.00		
<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>				
2	- 3 = 0	x 200.00 =	0.00				
3. APPLICATION SIZE FEE							
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).							
<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>			
- 100 =	50	(round up to a whole number) x	250.00 =	0.00			
4. OTHER FEE(S)							
Non-English Specification, \$130 fee (no small entity discount)						<u>Fees Paid (\$)</u>	
Other (e.g., late filing surcharge):						0.00	

SUBMITTED BY			
Signature		Registration No. (Attorney/Agent)	45,763
Name (Print/Type)	Rick Shoop	Telephone	(650) 813-5804
		Date	February 9, 2006

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(Sandy Yi)

Docket No.: 146712016200
(PATENT)

Client Ref. No.: STL 3180

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Alan L. GRANTZ

Application No.: 10/609,310

Confirmation No.: 8018

Filed: June 26, 2003

Art Unit: 3682

For: NON-RECIRCULATING CONICAL FLUID
DYNAMIC BEARING FOR AN ELECTRIC
MOTOR

Examiner: C. Hansen

**AMENDMENT IN RESPONSE TO NOTICE OF
NON-COMPLIANT AMENDMENT (37 CFR 1.121)**

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

02/10/2006 LWONDIH1 00000079 031952 10609310

INTRODUCTORY COMMENTS

01 FC:1202 50.00 DA

This is in response to the Notice of Non-Compliant Amendment dated January 13, 2006, for which a response is due February 13, 2006. Accordingly, this response is timely filed. Reconsideration and allowance of the pending claims, as presented, in light of the remarks presented herein are respectfully requested.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 6 of this paper.

pa-1043907